



10th April 2021
BJ/SH-L2/

BSE Limited
Corporate Relationship Dept.
1st Floor, New Trading Ring
Rotunda Building, P J Towers
Dalal Street, Fort
Mumbai 400 001
Scrip Code: **500400**

National Stock Exchange of India Ltd.
Exchange Plaza, 5th Floor
Plot No.C/1, 'G' Block
Bandra-Kurla Complex,
Bandra (East)
Mumbai 400 051
Symbol : **TATAPOWER EQ**

Dear Sirs,

Compliance under Regulations 40(9) & (10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

In terms of Regulation 40(9) & (10) of the SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015, we forward herewith the Certificate dated 10th April 2021 from Mr. P.N. Parikh, Parikh & Associates, Company Secretaries, for the half year ended March 31, 2021.

Yours faithfully,
For The Tata Power Company Limited

(H. M. Mistry)
Company Secretary

Encl: as above

TATA POWER

The Tata Power Company Limited

Registered Office Bombay House 24 Homi Mody Street Mumbai 400 001

Tel 91 22 6665 8282 Fax 91 22 6665 8801

Website : www.tatapower.com Email : tatapower@tatapower.com CIN : L28920MH1919PLC000567

PARIKH & ASSOCIATES
COMPANY SECRETARIES

Office

111, 11th Floor, Sai-Dwar CHS Ltd
Sab TV Lane, Opp Laxmi Industrial Estate,
Off Link Road, Above Shabari Restaurant,
Andheri (W), Mumbai : 400053
Tel No 26301232 / 26301233 / 26301240
Email : cs@parikhassociates.com
parikh.associates@rediffmail.com

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31st MARCH, 2021.

The Securities and Exchange Board of India vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 01st April, 2019. SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01st April, 2019. Further, SEBI vide its circular dated 07th September, 2020 has fixed 31st March, 2021 as the cut-off date for re-lodgement of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02nd December, 2020.

Accordingly, on the basis of examination of the relevant documents relating to THE TATA POWER COMPANY LIMITED ('the Company') maintained and provided electronically by TSR Darashaw Consultants Private Limited, Registrar and Share Transfer Agents (RTA), pertaining to the period from 01st October, 2020 to 31st March, 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

A) 1.a. The Company has sent during half year ended on 31st March, 2021 letters of confirmation as per SEBI's operational guidelines for execution of transfers on such re-lodged transfer deeds, received during the period from 01st October, 2020 to 31st March, 2021.

1. b. The Company has delivered during half year ended on 31st March, 2021 share certificates in respect of requests relating to transmission of securities/deletion of name, transposition of securities, consolidation and renewal received during the period from 01st October, 2020 to 31st March, 2021, within thirty days, from the respective date of lodgement. As informed to us there were no requests relating to sub-division (split), exchange or endorsement of calls/allotment monies received during the said period.

B) Any other information, if applicable: --

Place: Mumbai
Date: April 10, 2021

For Parikh & Associates

P N

Parikh

Digitally signed by P N Parikh
DN: cn=P, ou=Parikh, postalCode=400104,
serialNumber=1,
2.5.4.20=02259471a64687e6b0c0c0a1a0
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serialNumber=02259471a64687e6b0c0c0a1a0
40700b1650c00b13f020c0c040653f0e
cN=P N Parikh
Date: 2021.04.10 11:49:33 +05'30'

P. N. Parikh
FCS: 327 CP: 1228
UDIN: F000327C000044580